

09-18-01 533 Rec'd PCT/PTO 17 SEP 2001

FORM PTO-1390
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

2085-00100

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

NOT YET ASSIGNED

09/936850

INTERNATIONAL APPLICATION NO.

PCT/SG99/00037

INTERNATIONAL FILING DATE

18 March 1999

PRIORITY DATE CLAIMED

18 March 1999

TITLE OF INVENTION

SOFTWARE DISTRIBUTION, EXECUTION AND UPGRADING

APPLICANT(S) FOR DO/EO/US

Sridharan RANGARAJAN et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. (English translation not necessary as it was originally filed in English.)
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☒ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
Corrected Version International Application as published (cover sheet only); PCT Form PCT/IPEA/402; Form PCT/IB/332; PCT Request Form; International Search Report; and an acknowledgment postcard.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

NOT YET ASSIGNED

INTERNATIONAL APPLICATION NO.

PCT/SG99/00037

ATTORNEY'S DOCKET NUMBER

2085-00100

21. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO. \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$ 1,000.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	18 - 20 =	- 0 -	x \$18.00	\$ 00.00
Independent claims	3 - 3 =	- 0 -	x \$80.00	\$ 00.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ 00.00

TOTAL OF ABOVE CALCULATIONS =

\$ 1,000.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

\$ 500.00

SUBTOTAL =

\$ 500.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 00.00

TOTAL NATIONAL FEE =

\$ 500.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 40.00

TOTAL FEES ENCLOSED =

\$ 540.00

Amount to be
refunded:

\$

charged:

\$ 540.00

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 03-2769 in the amount of \$ 540.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 03-2769. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Jonathan M. Harris, Esq.
CONLEY, ROSE & TAYON, P.C.
P.O. Box 3267
Houston, Texas 77253-3267
United States of America

Jonathan M. Harris
SIGNATURE

Jonathan M. Harris

NAME

Reg. No. 44,144

REGISTRATION NUMBER

3/ppts

SOFTWARE DISTRIBUTION, EXECUTION AND UPGRADING

This invention relates to methods for distribution, execution and upgrading of software. It relates particularly but not exclusively to methods for executing and distributing software over a communication network such as a local area network, a wide area network, a virtual private network, or the Internet.

Most current application software consists of a large number of different files. Such software is typically distributed on one or more CD-ROMs. The software is installed on a user's computer by running a set-up or installation program, which writes different files to different directories or folders on storage media (such as a hard disk) on the user's computer. When the software application is executed, it makes file requests to the various different files as they are needed. The file requests are typically made to a particular file directory location on the user's computer, and the software may cease to operate properly if a file is not found in the expected location. For some software, the file requests are made to a CD-ROM drive on the user's computer, and the software may cease to operate properly if the correct CD-ROM is not present in the drive.

Disadvantages of software distribution using CD-ROMs or other physical distribution media include the costs of creating the CD-ROMs, the effort and expense involved in creating printed manuals and packaging, and the time delays and transportation logistics involved in physically delivering the media to the user. Different users have different configurations of computers and different requirements for software functionality. Accordingly, different users require slightly different (sometimes significantly different) versions of the same software, and in order to achieve this the software manufacturer typically includes all possible variations on the distribution media, with the user selecting the applicable components during installation. From the user's point of view, there is the inconvenience of receiving more materials than may be required. Typically, a user never finds a use for all of the software which is received, and the functionality of the software far exceeds what is required. After a while, superseded CD-ROMs take up useful space. The user may have to pay for and install the whole of a software package when all that was required was a small part of it, and the user may have preferred to pay a lesser amount for software which takes up less data storage space on the user's computer. Moreover, a user who uses the software

only occasionally has to pay the same amount as a person who uses the software frequently.

It is becoming increasingly common to distribute software over the Internet. This is typically done by collecting all the files for a software application together
5 into a compressed archive, making the archive available on an FTP or Web server on the Internet, and downloading the archive from the FTP or Web server onto the user's computer. The user then runs an installation program which decompresses the archive to extract the files, and then writes different files to different directories on the hard disk of the user's computer in much the same way as the installation
10 program on a CD-ROM operates.

Apart from the issues of wastage and delivery of physical media, this method of delivery of software includes many of the disadvantages of conventional physical delivery. It is still usually necessary for the user to download more files than are actually required for the user's particular configuration, and this can be
15 problematic in view of the time costs typically associated with connecting to the Internet. There is no means for charging the user only for the parts of the software which are actually useful to the user, or for charging the user on the basis of the frequency with which the software is used. Moreover, once a complete archive of the relevant software has been downloaded by the user, it is relatively easy for the
20 user to make and distribute unauthorised copies, so piracy issues arise.

A further problem associated with conventional software distribution methods relates to the manner in which the user decides whether the software is suitable for the user's purposes. It is typically very difficult for a user to decide whether software is suitable merely from reading advertising material, and the
25 suitability can in many cases only be verified some time after the user has purchased the software and gone through the effort necessary to learn how to use it. This can result in a user purchasing unsuitable software. One answer to this problem is provided by cut-down "demonstration" versions of the software which may be distributed at low cost or free of charge via physical media (such as on
30 CD-ROMs sold with computer magazines) or over the Internet. However, because it is usually necessary for a user to invest a significant amount of time in installation and learning how to use a new software application, there is often a reluctance to spend the time necessary to install and learn how to use a cut-down software product which will never in itself be useful.

0993659-094704
T04T60"0523660

Another type of software distribution is involved in client-server networking, typically in the context of local area networks. A typical local area network involves a number of client computers connected to one or more file servers. Networking software located on a client computer and the file server enables the client
5 computer to gain access to files on the file server as if the files were located on a disk drive on the client computer. From the client computer's point of view, the file server simply appears to be one or more additional file volumes on the client computer. As a result, it is possible to run client-server software applications, in which some of the files are located on the client computer and some are located
10 on the file server. In peer-to-peer networking, a similar result is achieved in a system where each computer can be configured to allow the other computers in the network to have access to part or all of its disk volumes.

An advantage of client-server networking and peer-to-peer networking is that it is not necessary for each computer in the network to have a complete copy
15 of all the files of the software application; shared files need only be present on one computer. Accordingly, computer storage media space is not wasted, and upgrading can be a simpler process. However, this type of networking can typically only be achieved within an organisation which has the same networking software on all computers. Efficiencies can be gained in distributing software within an
20 organisation, but not between organisations or to individuals which are not part of the organisation.

US Patent 5,752,005 describes a foreign file system establishing method which uses a native file system virtual device driver. A computer has a native file system which provides various native function routines. A virtual driver
25 communicates with the native file system and intercepts some of the function routines, transferring them to a particular location on another ("foreign") computer. This allows the computer to gain some of the advantages of client-server networking, but it is necessary for the device driver to emulate the foreign file system.

30 An object of the present invention is to provide new methods of distributing, executing and upgrading software which overcome some or all of the difficulties described above.

According to a first aspect of the invention, there is provided a method of distributing software over a communication network including the steps of:

- 10

15

20

25

- 25

30

During the installation process, the software may optionally require that a key be entered.

One of the advantages of the first aspect of the invention is that it can allow distribution of currently available software without any modification of the file requests made by that software. This is because file requests are intercepted. If the file is already on the user's computer, the file request is directed to that file. If the file is not on the user's computer, the file is downloaded from the file server and then accessed on the user's computer. Currently available software might make numerous file requests to the local CD-ROM drive, because the software has been programmed with the assumption that the software is being installed from a local CD-ROM. All of these requests are monitored and converted into file download requests directed to the file server or redirected to downloaded files located on the user's computer.

According to a second aspect of the invention, there is provided a method of executing computer application software on a user's computer which is connected to a communication network including the steps of:

- (a) providing on the user's computer a version of the software which does not include all the files necessary for complete operation of the software;
- (b) making the missing files available on a file server attached to the network;
- (c) executing the software on the user's computer;
- (d) monitoring file requests made by the software;
- (e) identifying file requests which relate to files which are not present on the user's computer;
- (f) downloading the identified files from the file server and storing them in volatile or non-volatile storage media on the user's computer;
- (g) directing the file requests for the identified files to the downloaded versions of those files; and
- (h) deleting one or more of the downloaded files from the user's computer.

The communication network and the file server may have the same features as described above in relation to the first aspect of the invention.

The step of deleting one or more of the downloaded files may be done at any suitable time, including:

- (i) as the files are closed by the software;
- (ii) when execution of the software terminates;
- (iii) after a pre-determined time or number of days has elapsed; and/or
- (iii) after the software has been executed a pre-determined number of times.

The method of this aspect of the invention may further include the steps of:

- (i) monitoring the number of times that files are requested and/or the particular files requested by the user's computer from the server; and
- (j) requiring the owner of the user's computer to make payments based on the
5 number of times that files are requested and/or the particular files requested.

It will be seen that this method requires the user's computer to make contact with the file server at regular intervals in order to replace deleted files, and this facilitates the pay-per-use type of charging. This method is also useful for overcoming the purchasing barrier – allowing users to try the actual software first
10 before buying it. There is no need for the software to be a cut-down version.

According to a third aspect of the invention, there is provided a method of automatically upgrading software on a user's computer over a communication network including the steps of:

- (a) making the upgrade software available on a file server attached to the
15 network;
- (b) ascertaining that the software on the user's computer is an older version than the upgrade software;
- (c) executing the software on the user's computer and monitoring file requests made by the software;
- 20 (d) identifying file requests which relate to files which have been upgraded in the upgrade software;
- (e) downloading the identified files from the file server;
- (f) storing the downloaded files in storage media on the user's computer; and
- (g) directing the file requests for the identified files, and any future file requests
25 for those files, to the downloaded versions of those files.

The communication network and the file server may have the same features as described above in relation to the first aspect of the invention.

The step of ascertaining that the software on the user's computer is an older version than the upgrade software may occur at any suitable time, including:

- 30 (i) each time the software on the user's computer is executed;
- (ii) after the software on the user's computer has been executed a predetermined number of times; or
- (iii) after a predetermined period of time.

When it is ascertained that the software on the user's computer is an older version than the upgrade software, an upgrade agent may be downloaded from the file server to the user's computer. The upgrade agent performs the function of monitoring file requests made by the software to identify file requests which relate to files which have been upgraded in the upgrade software.

The invention will hereinafter be described in greater detail by reference to the attached drawings which show an example form of the invention. It is to be understood that the particularity of those drawings does not supersede the generality of the preceding description of the invention.

Figure 1 is a block diagram showing schematically the software components in a conventional networking system.

Figure 2 is a block diagram showing schematically the software components in a networking system according to the present invention.

Figure 3 is a schematic diagram of the components in a preferred embodiment of the present invention.

Referring firstly to Figure 1, there is shown the components in conventional directory mounting/mapping products such as NFS, SMB and NetWare. On the host machine, application software runs on top of an operating system. The application software communicates with the operating system through various application program interfaces (APIs). Included within the operating system are a native file system, which includes a multiplicity of function routines, and network drivers. On the remote computer, the operating system also includes a file system and network drivers. When the application software on the host machine accesses a file on the remote machine, it does so by making a request to the file system on the host machine. This request then passes to the network driver on the host machine, which passes the request to the network driver on the remote machine, which in turn passes it to the file system on the remote machine to access the file. The response is sent back through the same communication chain. There is no trapping of file requests made from the application software before they reach the native file system. Instead, the native file system invokes special drivers called network redirectors, which co-operate with their counterparts on the remote machine to make the directories and files visible to the native file system.

Referring now to Figure 2, the present invention enables software to be installed and run on a host machine, without requiring the underlying files to be

physically available on any of the local storage drives. Instead, every file request from the software is trapped by an installable monitor, which dynamically downloads the target file from a remote file server to a local storage medium if the file has not already been downloaded. The installable monitor thereafter directs
5 the native file system to operate on the downloaded file in order to satisfy the file request. Even requests for a file at an absolute path on a specific drive (e.g. the CD-ROM drive) are redirected to the downloaded file, which is likely to reside at a different path on a different drive. The downloaded file can optionally be removed when the software closes the file, or when the software terminates. Consequently,
10 a host machine can run application software without having a physical medium containing all the underlying files.

In a preferred embodiment as illustrated in Figure 3, the file server is a standard FTP server using the FTP communication protocol. The communication network is the Internet. The user's computer has Windows 95 as its operating
15 system, and the FTP server has Solaris as its operating system. However, those skilled in the art should appreciate that different operating systems have similar features and functions, so that the present invention is not limited to use only in connection with these operating systems.

The installable monitor on the user's computer has two components: a
20 virtual device driver (VxD) inside the operating system kernel, and a Windows application at the user level, which includes an FTP client (the user mode application). The VxD is implemented in such a way that it layers itself on top of the native file system. It is implemented as a hook that gets installed below the installable file system (IFS) manager interface. A hook is a programming device
25 that alters program flow away from its original intent. Consequently, for selected program flow threads progressing through the IFS manager interface, program flow is routed to the VxD rather than to the native file system as originally intended. The VxD is then free to either satisfy the request and return program flow back to the requesting thread or modify the request before directing it to the
30 native file system.

The native file system may include function routines directed towards file rights, file locking, file transaction tracking and the like. These are of little importance to the installable monitor of the invention, and hence hooks are omitted

0593550-094704

for such functions. The selected functions for which hooks are implemented in the preferred embodiment are indicated in the following table:

CreateFile	WriteFile	FindNextFile
CloseFile	GetFileSize	GetFileAttributes
ReadFile	FindFirstFile	GetFileTime
CreateDirectory	DeleteFile	

- 5 The precise processes and program flow used in hooking these function routines are unimportant for the purposes of the present invention. Such hooking may be implemented any number of different ways, as will be known to those skilled in the art. For example, published information describing the operating system may indicate the definition of the IFS manager interface for various
- 10 function routines supported by the native file system. To the extent that published information is lacking, hook locations may be determined empirically.

For each function routine that is hooked, the VxD blocks the execution of the request inside the kernel, determines the path name of the request issued by the CD application and translates it into the equivalent remote path name on the

15 CD drive attached to the file server. This remote path name is then passed back to the user mode application using known event notification mechanisms. The user mode application then translates the request into an FTP request and forwards it to the FTP server. The user mode application waits for a response from the FTP server and, once the file has been downloaded onto the user's machine, it saves

20 the file to another location on the user's machine's local storage media. Confirmation of the download is passed to the VxD, together with the location of the local copy of the file. The VxD then redirects the native file system to satisfy the request made by the application using the local copy, and the application continues as normal. The application itself is unaware that its files and data are

25 being accessed on-line rather than on a local CD-ROM. After the application finishes execution, or closes the file, or after a pre-determined number of uses or after a pre-determined number of days, (these options may be configurable) one or more or all of the downloaded files are deleted from the user's machine.

Typical applications for this embodiment include games traditionally

30 distributed via CD-ROM disks. The start-up program corresponds to the game

5 It is to be understood that various alterations, modifications and additions
can be made to the parts previously described without departing from the ambit of
the invention.

Claims:

1. A method of distributing software over a communication network including the steps of:
- 5 (a) making the software available on a file server attached to the network;
- (b) providing and executing an installation application on a user's computer attached to the network;
- (c) monitoring file requests made by the installation application;
- (d) identifying file requests which relate to files which are not present on the
- 10 user's computer;
- (e) downloading the identified files from the file server;
- (f) storing the downloaded files in storage media on the user's computer; and
- (g) directing the file requests for the identified files, and any future file requests for those files, to the downloaded versions of those files.
- 15
2. A method according to claim 1 further including the steps of:
- (h) monitoring the number of times that files are requested and/or the particular files requested by the user's computer from the server; and
- (i) requiring the owner of the user's computer to make payments based on the
- 20 number of times that files are requested and/or the particular files requested.
3. A method according to claim 1 wherein the software requires a key to be entered during installation.
- 25
4. A method according to claim 1 wherein the communication network is the Internet.
5. A method according to claim 1 wherein the communication network is a local area network or a private wide area network.
- 30
6. A method according to claim 1 wherein the file server is:
- (i) an FTP server;
- (ii) an HTTP server; or
- (iii) a Gopher server.

7. A method of executing computer application software on a user's computer which is connected to a communication network including the steps of:

- (a) providing on the user's computer a version of the software which does not
5 include all the files necessary for complete operation of the software;
- (b) making the missing files available on a file server attached to the network;
- (c) executing the software on the user's computer;
- (d) monitoring file requests made by the software;
- (e) identifying file requests which relate to files which are not present on the
10 user's computer;
- (f) downloading the identified files from the file server and storing them in volatile or non-volatile storage media on the user's computer;
- (g) directing the file requests for the identified files to the downloaded versions of those files; and
- 15 (h) deleting one or more of the downloaded files from the user's computer.

8. A method according to claim 7 wherein the step of deleting one or more of the downloaded files is done:

- (i) as the files are closed by the software;
- 20 (ii) when execution of the software terminates;
- (iii) after a pre-determined time or number of days has elapsed; and/or
- (iii) after the software has been executed a pre-determined number of times.

9. A method according to claim 7 further including the steps of:

- 25 (i) monitoring the number of times that files are requested and/or the particular files requested by the user's computer from the server; and
- (j) requiring the owner of the user's computer to make payments based on the number of times that files are requested and/or the particular files requested.

30 10. A method according to claim 7 wherein the communication network is the Internet.

11. A method according to claim 7 wherein the communication network is a local area network or a private wide area network.

12. A method according to claim 7 wherein the file server is:
- (i) an FTP server;
 - (ii) an HTTP server; or
 - 5 (iii) a Gopher server.
13. A method of automatically upgrading software on a user's computer over a communication network including the steps of:
- 10 (a) making the upgrade software available on a file server attached to the network;
 - (b) ascertaining that the software on the user's computer is an older version than the upgrade software;
 - (c) executing the software on the user's computer and monitoring file requests made by the software;
 - 15 (d) identifying file requests which relate to files which have been upgraded in the upgrade software;
 - (e) downloading the identified files from the file server;
 - (f) storing the downloaded files in storage media on the user's computer; and
 - (g) directing the file requests for the identified files, and any future file requests
 - 20 for those files, to the downloaded versions of those files.
14. A method according to claim 13 wherein the step of ascertaining that the software on the user's computer is an older version than the upgrade software occurs:
- 25 (i) each time the software on the user's computer is executed;
 - (ii) after the software on the user's computer has been executed a predetermined number of times; or
 - (iii) after a predetermined period of time.
- 30 15. A method according to claim 13 wherein, when it is ascertained that the software on the user's computer is an older version than the upgrade software, an upgrade agent is downloaded from the file server to the user's computer and the upgrade agent performs the function of monitoring file requests made by the

software to identify file requests which relate to files which have been upgraded in the upgrade software.

16. A method according to claim 13 wherein the communication network is the
5 Internet.

17. A method according to claim 13 wherein the communication network is a local area network or a private wide area network.

10 18. A method according to claim 13 wherein the file server is:
(i) an FTP server;
(ii) an HTTP server; or
(iii) a Gopher server.

0933650-09404

1/3

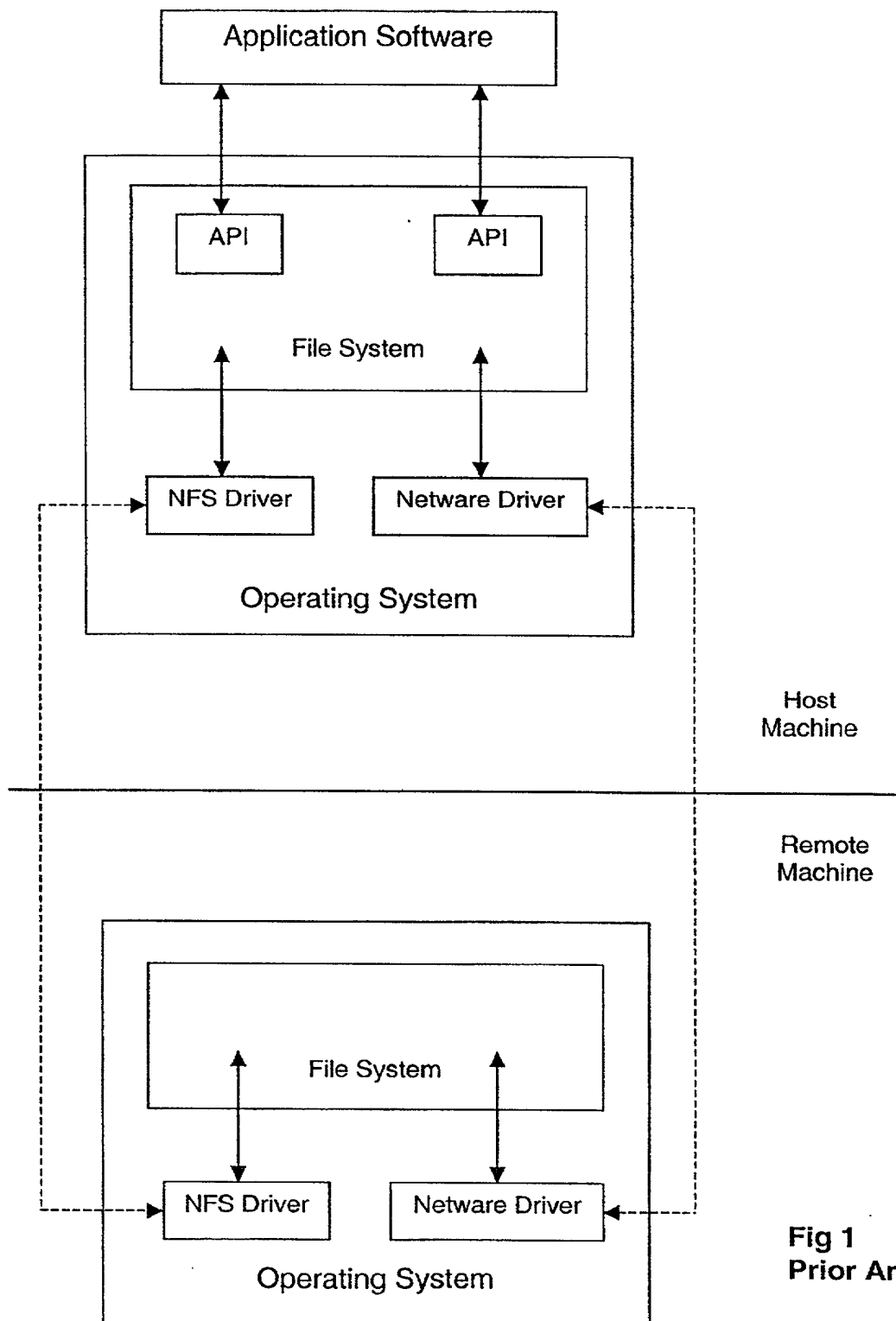
DRAWINGS

Fig 1
Prior Art

2/3

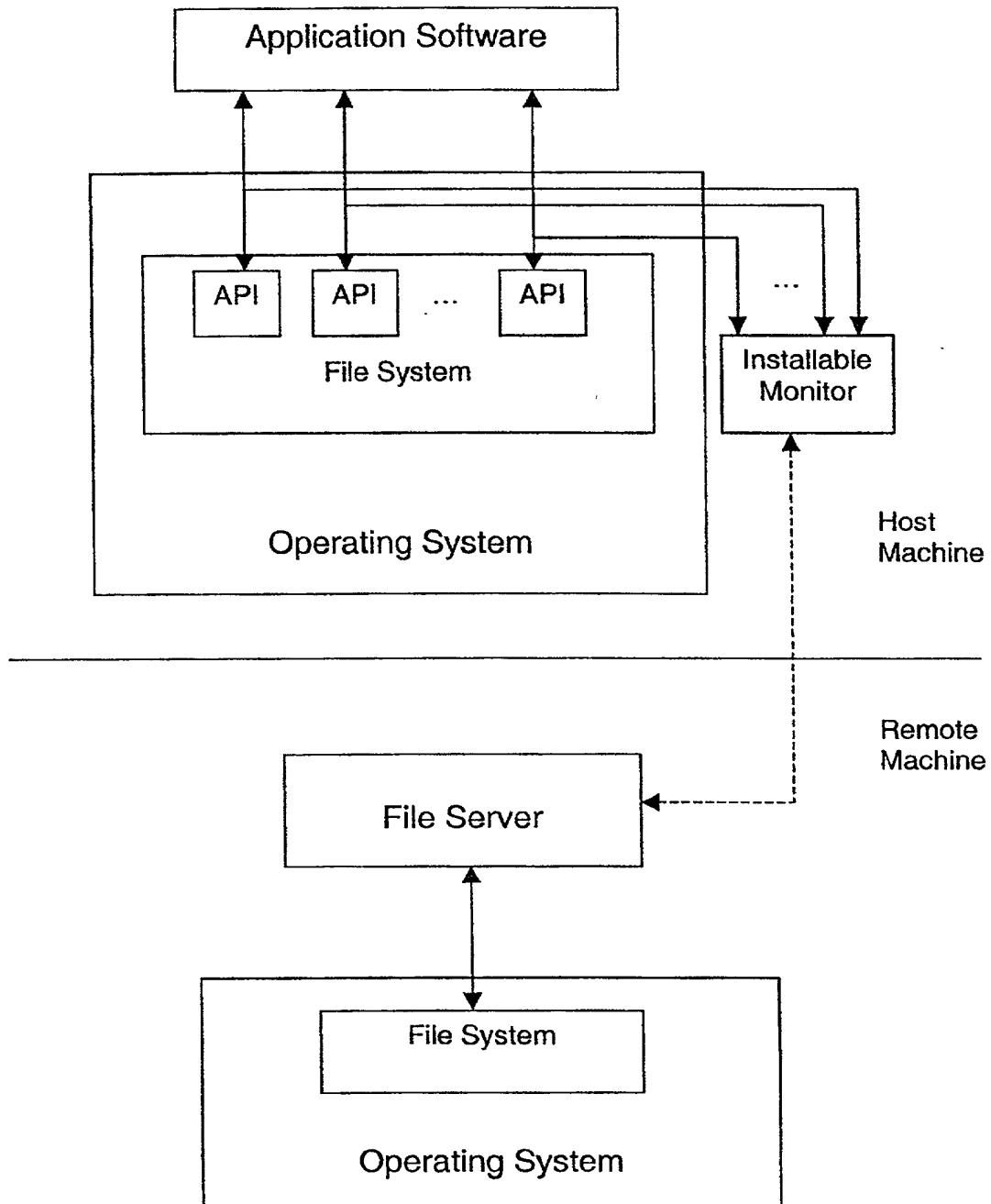


Fig 2

3/3

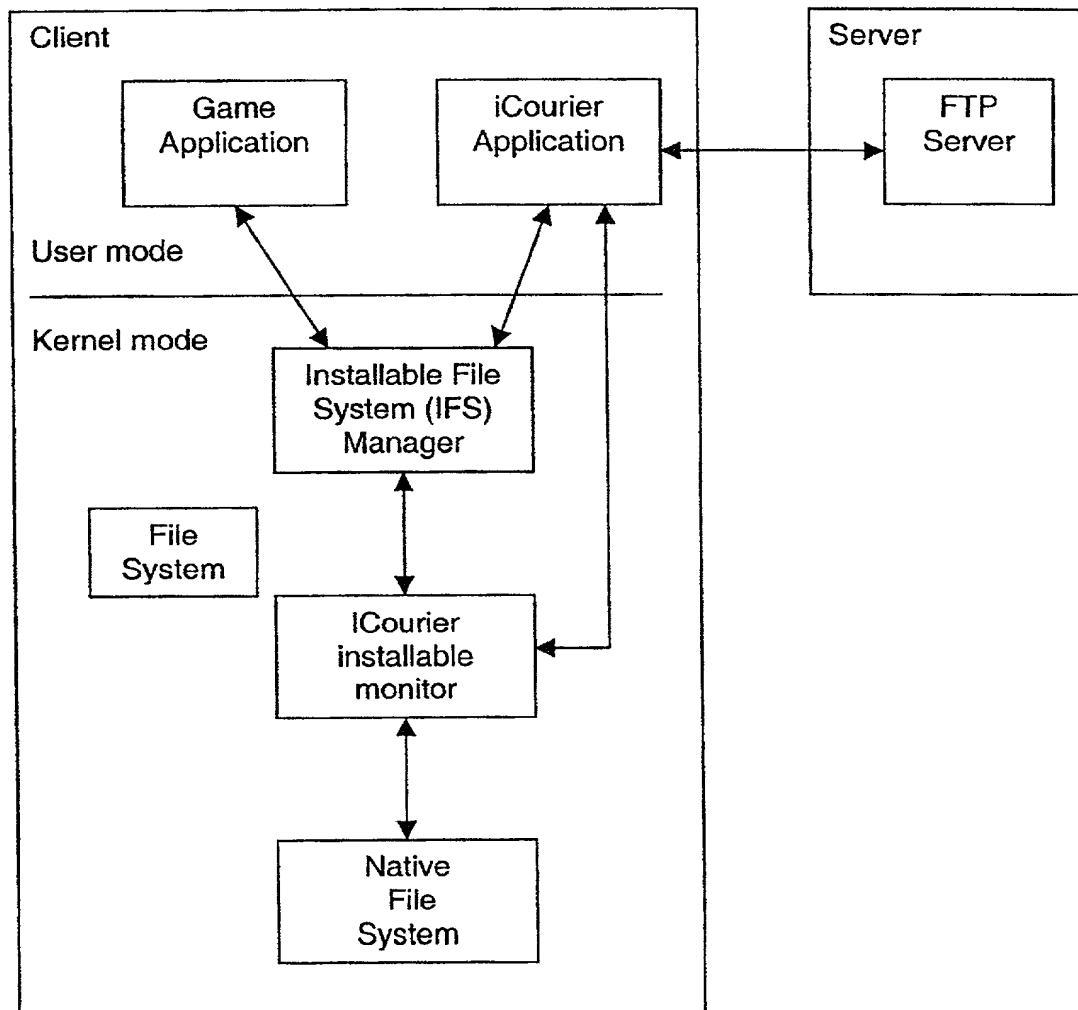


Fig 3

Please type a plus sign (+) inside this box → +

PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	NOT YET ASSIGNED
Filing Date	CONCURRENTLY HEREWITH
First Named Inventor	RANGARAJAN Sridharan
Group Art Unit	UNKNOWN
Examiner Name	UNKNOWN
Attorney Docket Number	2085-00100

I hereby appoint:

☒ Practitioners at Customer Number

23505

Place Customer
Number Bar Code
Label here

☐ Practitioner(s) named below:

Name	Registration Number
David A. Rose	26,223
Gregory L. Maag	32,363
Michael F. Heim	32,702
Jonathan M. Harris	44,144

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR


<input checked="" type="checkbox"/> Firm or Individual Name	Conley, Rose & Tayon, P.C.				
Address	P.O. Box 3267				
Address					
City	Houston	State	TX	Zip	77253-3267
Country	United States of America				
Telephone	(713) 238 8000	Fax	(713) 238 8008		

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).


SIGNATURE of Applicant or Assignee of Record

Name	PANG Hwee Hwa	
Signature	X	
Date	X	4 September 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of Three (3) form is submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box 

PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it display a valid OMB control number.

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	NOT YET ASSIGNED
Filing Date	CONCURRENTLY HEREWITH
First Named Inventor	RANGARAJAN Sridharan
Group Art Unit	UNKNOWN
Examiner Name	UNKNOWN
Attorney Docket Number	2085-00100

I hereby appoint:

☒ Practitioners at Customer Number

23505

Place Customer
Number Bar Code
Label here

OR

☐ Practitioner(s) named below:

Name	Registration Number
David A. Rose	26,223
Gregory L. Maag	32,363
Michael F. Heim	32,702
Jonathan M. Harris	44,144

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

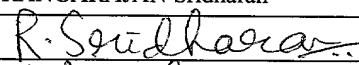
<input checked="" type="checkbox"/> Firm or Individual Name	Conley, Rose & Tayon, P.C.				
Address	P.O. Box 3267				
Address					
City	Houston	State	TX	Zip	77253-3267
Country	United States of America				
Telephone	(713) 238 8000	Fax	(713) 238 8008		

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	RANGARAJAN Sridharan
Signature	
Date	September 4, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of Three (3) form is submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → +

PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it display a valid OMB control number.

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	NOT YET ASSIGNED
Filing Date	CONCURRENTLY HEREWITH
First Named Inventor	RANGARAJAN Sridharan
Group Art Unit	UNKNOWN
Examiner Name	UNKNOWN
Attorney Docket Number	2085-00100

I hereby appoint:

- ☒ Practitioners at Customer Number 23505 → Place Customer
Number Bar Code
Label here
- ☐ Practitioner(s) named below:

Name	Registration Number
David A. Rose	26,223
Gregory L. Maag	32,363
Michael F. Heim	32,702
Jonathan M. Harris	44,144

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

- ☒ The above-mentioned Customer Number.

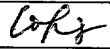
OR

<input checked="" type="checkbox"/> Firm or Individual Name	Conley, Rose & Tayon, P.C.				
Address	P.O. Box 3267				
Address					
City	Houston	State	TX	Zip	77253-3267
Country	United States of America				
Telephone	(713) 238 8000	Fax	(713) 238 8008		

I am the:

- ☒ Applicant/Inventor.
- ☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	LIN Feng				
Signature	X				
Date	X	04/09/2001 Sep. 4, 2001			

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of Three (3) form is submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	2085-00100
	First Named Inventor	RANGARAJAN Sridharan
	□□□□□□□□□□□□□□□□	
	Application Number	NOT YET / ASSIGNED
	Filing Date	CONCURRENTLY HEREWITH
	Group Art Unit	UNKNOWN
	Examiner Name	UNKNOWN

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Software Distribution, Execution And Upgrading

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 03/18/1999 as United States Application Number or PCT International

Application Number PCT/SG99/00037 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-00)
Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label 23505 OR ☐ Correspondence address below

Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any]) Sridharan

Family Name
or Surname RANGARAJAN

Inventor's
Signature

R. Sridharan

Date
September 4, 2001

Residence: City Singapore

State SGX

Country SG

Citizenship India

Mailing Address Block 724, Yishun Street 71, #02-175

Mailing Address

City Singapore

State

ZIP 760724

Country Singapore

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any]) Feng

Family Name
or Surname LIN

Inventor's
Signature

Lifeng

Date
Sep. 4, 2001

Residence: City Singapore

State SGX

Country SG

Citizenship P.R. China

Mailing Address Block 110, Woodlands Street 13, #12-20

Mailing Address

City Singapore

State

ZIP 730110

Country Singapore

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box → ☐

PTO/SB/02A (11-00)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 3 of 3

3-00
Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Hwee Hwa

PANG

Inventor's
Signature

Date x4 September 2001

Residence: City

Singapore

State

SGX

Country

S.G.

Citizenship

Singapore

Mailing Address

19 Shelford Road, #01-42

Mailing Address

City

Singapore

State

ZIP 288408

Country

Singapore

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Inventor's
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

Mailing Address

City

State

ZIP

Country

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Inventor's
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

Mailing Address

City

State

ZIP

Country

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.